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ATTORNEY DOCKET NO: GLADS-001A  
TITLE: METHOD AND SYSTEM FOR AUTOMATED TRADING OF FINANCIAL INSTRUMENTS

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PTO/SB/21 (09-04)

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Total Number of Pages in This Submission

13

Application Number

09/884,303

Filing Date

06/19/2001

First Named Inventor

Garry D. Gladstone

Art Unit

3624

Examiner Name

Ella Colbert

Attorney Docket Number

GLADS-001A

## ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment/Reply

☒

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

STETINA BRUNDA GARRED & BRUCKER

Signature

*Eric L. Tanezaki*

Printed name

Eric L. Tanezaki

Date

07/15/2005

Reg. No.

40,196

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Application No.: 09/884,303  
Response to Office Action of 05/19/2005  
Attorney Docket: GLADS-001A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	Garry D. Gladstone	)	Confirmation No.	4813
		)		
Serial No.:	09/884,303	)	Art Unit:	3624
		)		
Filed:	06/19/2001	)	Examiner:	Ella Colbert
		)		
For:	METHOD AND SYSTEM FOR	)		
	AUTOMATED TRADING OF	)		
	FINANCIAL INSTRUMENTS	)		

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**RESPONSE TO OFFICE ACTION**

Mail Stop AF  
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Dear Sir:

In response to the Office Action of May 19, 2005, please amend the above-identified Application and consider the Remarks as follows: